

**APPLICATION FOR EMPANELMENT OF CHARTERED ACCOUNTANT FIRMS  
AS CONCURRENT AUDITORS**

1	Name of the Firm			
2	Constitution			
3	Address with PIN Code			
4	Contact Nos. Mobile + Landline + Email	Mobile: Landline: e-Mail:		
5	Date of Establishment			
6	PAN No. of the firm <b>(copy to be attached)</b>			
7	Registration No. with ICAI <b>(copy to be attached)</b>			
8	Unique Code No.RBI <b>(copy to be attached)</b>			
9	Firm's RBI Category <b>(copy to be attached)</b>			
10	GST No. of the firm			
11	No. of Partners & Chartered Accountants  Name of the Partners / Chartered Accountants / Contact Numbers	SNo	Name of the Partner/CA	Ph Number
12	Branches of C.A. firm with full address, if any	SNo	Branch	Full Address
13	Experience of Concurrent Audit with SBI <b>(Please give details with supporting documents)</b> (add rows if required)	Name of the Branch	Period worked (From – To--) with dates	No. of yrs & Mths

14	Experience of Concurrent Audit with PSBs <b>(Please give details with supporting documents)</b> (add rows if required)	Name of the Bank & Branch	Period worked (From – To--) with dates	No. of yrs & Mths	
15	Additional Qualifications possessed by Partners / Directors / Proprietor (i.e. CISA/DISA/Con. Audit Course from ICAI) <b>(Please give details with supporting documents)</b> (add rows if required)	Name of the person	Designation	Addl Qualif. possessed	Mth/year of the certificate
16	Experience in specialized areas like Treasury/FOREX/CPCs & Big Corporate Branches <b>(Please give details with supporting documents)</b> (add rows if required)	Area	Experience in years		
17	Whether appointed as Statutory Auditor / Stock Auditor / Forensic Auditor etc., for SBI	If 'Yes' give details, nature of audit, period of engagement, present position		1. 2. 3.	
18	If worked as Concurrent Auditor in SBI, whether under cooling period	Yes / No / Not applicable			
19	Names of the branch / CAO, where worked.	CAO	Br Code	Br name	
20	If any of the branch of the CA firm is already engaged as Concurrent Auditor, then name of the Branch / Branch code & CAO name. (add rows if required)	CAO	Br Code	Br name	
21	Preferred Centre	Name of CAO		Centre	
				1	
				2	
				3	

21A	Have you applied for any other CAO? If yes, CAO Name & Centre	Name of CAO	Centre
			1
			2
22	Any other relevant data, if you wish to indicate.		

i) I/We confirm that the information furnished above is true and correct and we have not been de-paneled / Blacklisted by any organization in the past and we fulfill all the conditions of eligibility for empanelment with SBI as a Concurrent Auditor.

ii) I/We have read the terms and conditions stipulated for empanelment as Concurrent Auditors of the Bank and I/We also understand that the Bank has reserved its right to accept or reject the application without assigning any reasons. If selected for empanelment as Concurrent Auditor of the Bank and the offer is accepted, I/We will execute Service Level Agreement with the Bank.

iii) If Bank finds the details provided by us above are incorrect / not true at a later date, then the appointment may be cancelled.

iv) I/We confirm that we are not appointed as Statutory Auditors / Stock Auditors / Forensic Auditors etc., of the Bank and we undertake to inform the bank immediately if we are taking up any such assignments with SBI in future.

v) I/We confirm that we are not working as Concurrent auditors with SBI as on date and further confirm that we are not in cooling period (i.e within one year from last date of assignment as Concurrent Auditor with SBI), as on date.

Place:

Date:

**Signature of the applicant with seal**

**Last date for receipt of hard copies of application and other documents by Post / Courier / Hand Delivery at respective CAOs is 18.05.2024 upto 5 PM. Applications received after 5.00 PM of 18.05.2024 will be rejected.**